



Patitioner's Docket No. NEB-198-PUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Samuelson, James C.; Xu, Shuang-yong

Application No.: 10/501,196

Group No.: 1645

Filed: January 9, 2003

Examiner: not yet assigned

For: Alteration of Restriction Endonuclease Specificity by Genetic Selection

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

CERTIFICATION UNDER 37 C.F.R. " 1.8(a) and 1.10*

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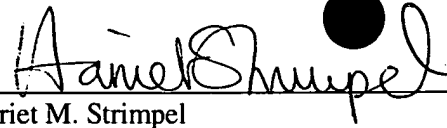
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Date: November 5, 2004

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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Date: November 5, 2004



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INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
2. Copies of Listed Information Items Accompanying This Statement
3. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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Leslie Goldberg

(type or print name of person certifying)

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Section 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement with the exception of U.S. patents and published applications.

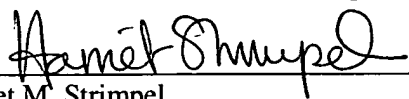
AA (no copy enclosed); BA-CG

Section 3. Identification of Person Making This Information Disclosure Statement

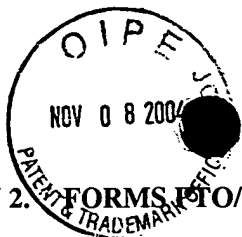
The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: November 5, 2004



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978-927-5054
Customer No. 28986



SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

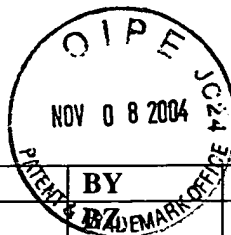
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Samuelson et al. Attorney Docket: NEB-198-PUS
Serial No: 10/501,196 Art Group Unit: 1645
Date Filed: 01/09/2003 Examiner Name: Not yet assigned
Invention: Alternation of Restriction Endonucleases

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|------------------|-----------------|------------|----------|----------------|
| Examiner Initials | Reference Number | Document Number | Issue Date | Inventor | Class/Subclass |
| | AA | US 5,498,535 | | | |

| OTHER DOCUMENTS | | | |
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| Examiner Initials | Reference Number | Author | Title of Article, Title of Journal, Volume Number, Page Numbers, Date |
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| | | Xu et al. | J. Biol. Chem. 266:4425-4429 (1991). |

Examiner Signature: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.